NOV 2 9 2001 21

28/2 makes

01 39983 00

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Toshihide NABATAME ET AL.

Serial No.:

09/806,861

Filed:

April 5, 2001

For:

SEMICONDUCTOR DEVICE AND MANUFACTURING

METHOD THEREOF

Group Art Unit:

2812

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

November 29, 2001

Sir:

The following preliminary amendments and remarks are respectfully: submitted in connection with the above-identified application.

IN THE SPECIFICATION:

Please replace the original specification with the attached Substitute Specification.

IN THE CLAIMS:

Claim 10 has been rewritten as indicated below:

A SUB 7

10. (Amended) A method of manufacturing a semiconductor device of

laminating to form a bottom electrode, a dielectric and a top electrode on substrate having a three-dimensional structure in which,